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Attorney Docket No.01

Unassigned

Unassigned

IN THE UNITED STATES PATENT AND TR

In re Application of DAVID H. COLE Examiner: Art Unit: Application No.: Unassigned Filed: Herewith For: METHODS AND DEVICES USING MAGNETIC FORCE TO INFORMATION FORM AN ANASTOMOSIS BETWEEN HOLLOW BODIES

DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to their duty under 37 C.F.R. 1.56 and 1.97, applicants wish to make the publications cited on the accompanying form PTO-1449 of record herein. All citations were made of record in the parent application, Application No. 09/562,599, filed April 29, 2000. Accordingly, copies of these publications are not attached with this Statement pursuant to 37 C.F.R. §1.98(d).

It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the publications be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the

information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application. Please charge any additional fees or credit overpayment to Deposit Account No. 50-1983.

Respectfully submitted,

Date March 4, 2002

HOEKENDIJK & LYNCH, LLP P.O. Box 4787 Burlingame, CA 94011-4787

650.685.9205

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S **INFORMATION DISCLOSURE STATEMENT**

Applicant:

DAVID H. COLE

For:

METHODS AND DEVICES USING MAGNETIC FORCE TO FORM AN ANASTOMOSIS BETWEEN HOLLOW BODIES

Application No.:

Unassigned Filing date: Herewith

U.S. Patent D	ocume		* Reference	ference Designation			
EXAMINER	*	DOCUMENT	DATE	NAME	CLASS	SUB-	
INITIAL		NO.		<u>.</u>		CLASS	
	A1	3,986,493	10/1976	Hendren, III			
	A2	4,154,226	05/1979	Hennig et al.			
	A3	4,210,132	07/1980	Perlin		•	
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	A8	4,899,120	12/1989	Gordon			
,	A9	4,899,744	02/1990	Fujitsuka et al.			
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Foreign Patent Documents							
EXAMINER INITIAL	*	DOCUMENT NO.	DATE	COUNTRY	ENGLISH ABSTRACT	ENGLISH TRANSLATION	
	B1	SU 736966	05/1980	Soviet Union		YES	
	B2	SU 1025420	06/1983	Soviet Union		YES	
	B3	SU 1179978	09/1985	Soviet Union	YES		
	B4	SU 1438738	11/1988	Soviet Union	YES		





Foreign Patent Documents							
EXAMINER INITIAL	*	DOCUMENT NO.	DATE	COUNTRY	ENGLISH ABSTRACT	ENGLISH TRANSLATION	
<u> </u>	B5	RU 2018266	03/1989	Soviet Union		Yes	
	B6	SU 1537228	01/1990	Soviet Union	YES		
	B7	SU 1595534	09/1990	Soviet Union	YES		
	B8	SU 1629040	02/1991	Soviet Union	YES		
	B9	SU 1635966	03/1991	Soviet Union	YES		
	B10	SU 1277452	06/1991	Soviet Union		YES	
	B11	SU 1708313	01/1992	Soviet Union	YES		
	B12	SU 1725851	04/1992	Soviet Union	YES		
	B13	SU 1361753	04/1992	Soviet Union		YES	
	B14	SU 1766383	10/1992	Soviet Union	YES	·	
	B15	SU 1769863	10/1992	Soviet Union	YES		
	B16	DE 29513195	12/1996	Germany	YES		
	B17	WO 97/13463	04/1997	PCT			
	B18	DE 29713335	07/1997	Germany			
	B19	WO 97/27897	08/1997	PCT			
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Other Art (In	cluding	Author, Title, Date, Pages, etc.)
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	C1	Esformes, et al., "Biological Effects of Magnetic Fields Generated with CoSm Magnets," pp. 81-87.
	C2	Fuestel, et al., "Kontinente Kolostomie durch Magnetverschluß," <u>Dtsch. Med.</u> <u>Wschr</u> . 100 (1975), pp. 1063-1064 (includes English Abstract).
	СЗ	Obora, et al., "Nonsuture Microvascular Anastomosis Using Magnet Rings: Preliminary Report," Surg. Neurol., Vol. 9, February 1978, pp. 117-120.
	C4	Kanshin, et al., "Sutureless anastomoses in gastrointestinal surgery with and without steady magnetic field," <u>Arkh Patol</u> , 1978; 40(8):56-61 (with English Abstract).
	C5	Pirusyan, et al., "Some Regularities of Tissue Squeezing and Regeneration Under Formation of "Unstitch" Anastomoses of the Alimentary Canal's Hollow Organs,"1979, pp. 13-17 (includes English abstract).
·	C6	Obora, et al., "Nonsuture Microvascular Anastomosis using Magnet Rings," January 16, 1980, pp. 497-505. (English translation is provided.)
	C7	Yanase, "An Experimental Study on Traumatic Changes in Microvessels Produced by Pressure Clamping," <u>Aust N.Z. J. Surg.</u> Vol. 50-No. 4, August , 1980, pp. 423-428.
	C8	Jansen, et al., "Clinical Applications of Magnetic Rings in Colorectal Anastomosis," <u>Surgery, Gynecology & Obstetrics</u> , Volume 153, October 1981, pp. 537-545.
	C9	Myshkin, et al., "Use of Permanent Magnets in Sutureless Anastomoses," 1987, pp. 47-52. (English translation is provided.)
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	C11	Stepanov, et al., "The treatment of intestinal fistulae in children by applying a bypass anastomosis using magnetic devices," Khirugiia (Mosk), Nov-Dec 1992, pp. 11-12. (English abstract is provided.)
	C12	Fukumura, et al., "Development of a Magnetically Operated Artificial Urethral Sphincter," ASAIO Journal, 1993, pp. M283-M287.
	C13	Bondemark, et al., "Orthodontic Rare Earth Magnets—In Vitro Assessment of Cytotoxicity," <u>British Journal of Orthodontia</u> , Vol. 21, No. 4, November 1994, pp. 335-341.
	C14	Cope, "Evaluation of Compression oCholecystogastric and Cholecystojejunal Anastomoses in Swine after Peroral and Surgical Introduction of Magnets," <u>Journal of Vascular and Interventional Radiology</u> , Vol. 6, No. 4, July-August 1995, pp. 546-552.
	C15	Cope, "Creation of Compression Gastroenterostomy by Means of the Oral, Percutaneous, or Surgical Introduciton of Magnets: Feasibility Study in Swine," <u>Journal of Vascular and Interventional Radiology</u> , Vol. 6, No. 4, July-August 1995, pp. 539-545.
	C16	Bondemark, et al., "Long-term effects of orthodontic magnets on human buccal mucosa—a clinical, histological and immunohistochemical study," <u>Eur J Orthod</u> , 20(3): June 1998, pp. 211-218.
	C17	Cope, "Stent Placement of Gastroenteric Anastomoses Formed by Magnetic Compression," <u>Journal of Visceral Intervention</u> , Vol. 10, No. 10, Nov-Dec 1999, pp. 1379-1386.

EXAMINER	DATE CONSIDERED	
	•	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant